

Genesee & Wyoming Railroad Services, Inc.

Real Estate Department, 13901 Sutton Park Dr., S, Suite 270, Jacksonville, FL 32224

APPLICATION FOR UNDERGROUND PIPELINE CROSSING OR PARALLELISM OF RAILROAD PROPERTY AND OR TRACK

Incomplete or Inaccurate Information will delay application request

Section 1 - Applicant Data

Complete Legal Name of Applicant to appear on License Agreement (Facility Owner):

Applicant Mailing Address:

Applicant Overnight Address:

Accounts Payable Address:

Applicant Contact Name & Title:

Telephone Number:

Email Address:

Emergency Contact (in case of derailment or fallen/wire pole etc.):

Emergency Telephone Number:

Type of Entity:

Corporation

Partnership

Sole Proprietor

Individual

Municipality

Developer

Other*

*If other please explain:

State of Incorporation or Partnership:

Contact during Application Process:

Name:

Contact Telephone Number:

Email Address:

Section 2 - Location Data

Railroad Name: _____ Estimated Start Date _____

Nearest City: _____ County: _____ State: _____

**REQUIRED: Latitude/Longitude
(Convert to Decimal Format) (ex 12.3456789/-64.101112):** _____

**Address of proposed worksite:
(city, state and zip code):** _____

Railroad Subdivision - if known _____ **US DOT/AAR Crossing Number - if available** _____

Section 3 - Pipeline Data

Crossing or Parallelism? Crossing If Crossing complete sections 3 and 4 Parallelism If Parallelism complete sections 3 and 5

New Maintenance *** Upgrade *** Replacement**** Other **

Method of Installation (Required): Jack & Bore Horizontal Directional Drill * Open Cut (typically not allowed)

**(Bore plan and frac out plan required with submittal for Horizontal Directional Drill method of installation)*

**** Explain other** _____

***** If maintenance or upgrade on an existing crossing please provide agreement number (required with submittal)** _____

***** If replacement of existing pipeline provide agreement number for existing crossing. (If abandoning existing pipeline in place include procedure for proposed abandonment on plans for new pipeline installation - Required)** _____

Product to be Conveyed: Water Sewer/Storm Sewer/Culvert Oil Gas

Type of Service: (Choose one) Transmission Distribution Service Other*

***Explain Other** _____

Will facility be exclusively used by Applicant? Yes No***

*****If no, list all entities who will be using this facility:** _____

Section 4 Pipeline Specifications

| | Carrier Pipe | Casing Pipe (Required) |
|--|--------------|------------------------|
| Material Specifications and Grade | | |
| Minimum Yield Strength of material (PSI) | | |
| Inside Diameter | | |
| Outside Diameter | | |
| Wall Thickness | | |
| Type of Seam | | |
| Laying Lengths | | |
| Type of Joints | | |

Angle of Pipe Line Crossing the Track: _____ Degrees _____

Vents: _____ Number: _____ Size: _____

Seals: _____ Both Ends: _____ One End: _____

**Cathode Protection: Yes No **Protective Coating: Yes No

** Kind _____

Type, size, and spacing of insulators or supports: _____

Location of Shut-Off _____

Valves: _____ Number of Manholes: _____

Maximum Operating Pressure _____

Describe in detail the manner and method of installation on Railroad Property: _____

Number of Tracks Crossed: _____

Total Buried Length on Railroad Right of Way: _____ Bury: Base of Rail to Top of Casing: _____ Feet and Inches: _____

Location of Boring Pits adjacent to Track: _____ feet _____

Launching Pit: _____ feet Receiving Pit: _____ feet

Section 5 - Parallelism Data

REQUIRED: Begin Parallelism

Latitude/Longitude (Convert to Decimal) :

Beginning Milepost if Available:

REQUIRED: End Parallelism

Latitude/Longitude (Convert to Decimal) :

Ending Milepost if Available:

Total Linear Feet longitudinal occupancy will occupy on Railroad property:

Section 6 - Department of Transportation (D.O.T.)

Is this installation associated with a Department of Transportation project?

Yes No

If Yes, complete the following:

| | | |
|-----------------------------|------------------------|----------------------|
| D.O.T. Contract Number: | D.O.T. Project Number: | D.O.T. Project Name: |
| _____ | _____ | _____ |
| D.O.T. Contact Information: | | |
| Name: _____ | | |
| Address: _____ | | |
| City: _____ | State: _____ | Zip Code: _____ |
| Phone Number _____ | Email Address: _____ | |

Some important items to note when completing the application:

Checklist prior to submittal

- If method of installation is HDD - frac out and bore plans included
- Latitude & longitudinal information converted to digital decimal format
- If installing fiber - indicate on the plans it has a metallic ribbon or wire (armored sheath acceptable) for locating purposes
- The plans show utility warning signs will be installed above the pipe at each edge of right of way
- Payment for fees included - check payable to specific railroad
- If paying fees by credit card a completed signed credit card release is included - only Visa, MasterCard or Discover can be accepted - US Roads only
- Applications can be emailed with a copy of the check in order to start the approval process. Only the check for the doc prep fees needs to be mailed or overnighted when the complete application packet is emailed. Include a copy of the application to make sure the payment is matched to the correct project.

Section 7 - Insurance Requirements - US Roads

Insurance Requirements prior to any construction project - Both the Utility Owner and the Contractor completing installation are required to provide proof of current Commercial General Liability Insurance. Prior to construction the Contractor is required to provide current proof of Railroad Protective Liability Insurance.

General Liability insurance must meet the minimum requirements of \$2M per occurrence and \$6M aggregate per the terms of the written contract.

Automobile Insurance must meet the minimum requirements of \$1M bodily injury and property damage per occurrence.

The General Liability certificate is required to show proof of **CG2417 or its equivalent**. (*Contractual Liability Railroads*)

Evidence of **Workers Compensation** must be provided on certificate and meet the minimum requirements of \$1M. Certificate Holder naming (*specified Railroad*) ****Specified Railroad names can be found at www.gwrr.com****

General Liability, Automobile Liability and Umbrella/Excess Liability provides additional insured status to the certificate holder and any other party(ies) specified in or required by written contract between the named insured and the certificate holder.

Where applicable and permitted by law, all policies include a blanket automatic waiver of subrogation endorsement that provides this feature in favor of the certificate holder and any other party(ies) specified in or required by written contract between the named insured and the certificate holder.

Prior to Construction or any access within 50' of Railroad a current **Certificate of Railroad Protective Liability insurance (RPL)** which shows the **specific Railroad** as named Insured. If the Contractor does not carry a policy of Railroad Protective Liability insurance, this coverage can be secured through the railroad. An application and current Fee structure for this coverage is available upon request.

****Insurance Requirements for potentially hazardous pipelines such as natural gas, oil, petroleum, etc. to be amended as shown below****

General Liability Insurance which names the **specific Railroad** as additional insured and must meet the limits of **\$5M per occurrence and \$10M aggregate**. Such policy shall be endorsed to provide Waiver of Subrogation in favor of the certificate holder per written contract.

Pollution Legal Liability Insurance with minimum limits of **Five Million Dollars (\$5,000,000)** per occurrence naming the **certificate holder** as additional insured per written contract. Such policy shall be endorsed to provide Waiver of Subrogation in favor of the certificate holder per written contract.

Section 8 - Insurance requirements - Canadian Roads

Insurance Requirements prior to any construction project - Both the Utility Owner and the Contractor completing installation are required to provide proof of current Commercial General Liability Insurance.

General Liability insurance must meet the minimum requirements of \$2M per occurrence and \$2M aggregate per the terms of the written contract.

Automobile Insurance must meet the minimum requirements of \$1M bodily injury and property damage per occurrence.

Where applicable, the General Liability certificate is required to show proof of **CG2417 or its equivalent**. (*Contractual Liability Railroads*)

Evidence of **Workers Compensation** must be provided on certificate and meet the minimum requirements of \$1M. Certificate Holder naming (*specified Railroad*) ****Specified Railroad names can be found at www.gwrr.com****

General Liability, Automobile Liability and Umbrella/Excess Liability provides additional insured status to the certificate holder and any other party(ies) specified in or required by written contract between the named insured and the certificate holder.

Where applicable and permitted by law, all policies include a blanket automatic waiver of subrogation endorsement that provides this feature in favor of the certificate holder and any other party(ies) specified in or required by written contract between the named insured and the certificate holder.

IMPORTANT

Prior to application submittal, Questions can be answered and additional contact information obtained by visiting the website at www.gwrr.com - select the specific railroad and click on the link for Real Estate.

Plans for proposed installations shall be submitted to and approved by the Railroad, on behalf of itself, its subsidiaries, and affiliates, and designated engineer before work can begin! Applications submitted not meeting current specifications as outlined in the General Specifications for Sub-grade and Above grade Utility Crossings of Railway's Right-of-Way will be returned and may incur additional engineering review fees. For your convenience a copy of these specifications can be found on the website at www.gwrr.com.

Materials and installations are to be in strict accordance with specifications of National Electrical Safety Code, AREMA, current edition, and requirements of the Railroad.

Upon application approval, applicant agrees to reimburse Railroad for any cost incurred by Railroad incident to the installation, maintenance and/or supervision necessitated by the installation. Applicant further agrees to assume all liability for accidents or injuries that arise as a result of this installation.

This section must be completed in full, signed and dated prior to submittal to the Real Estate Department for processing. Unsigned applications, incomplete or inaccurate Information will delay application request and may incur additional fees.

Date: _____ **Signature:** _____
Phone Number: _____ **Printed Name:** _____
Fax Number: _____ **Title:** _____

Please make check payable to the specific Railroad where proposed work is to occur. A list of Genesee & Wyoming, Inc. subsidiary railroads can be found at: www.gwrr.com . W-9 information available upon request.

Mail the application for proposed project, along with the applicable non-refundable fee(s) in U.S. Funds (Canadian Applicants please pay all fee in Canadian Funds plus HST) to:

**Genesee & Wyoming Railroad Services, Inc.
 Attn: Real Estate Department
 13901 Sutton Park Dr. S., Suite 270
 Jacksonville, FL 32224**

In order for the application to be complete ALL required details pertinent to the proposed installation must be completed in full and submitted along with the following fees:

| | # of Copies | Amount Due | Description |
|--------------------------|-------------|----------------|--|
| <input type="checkbox"/> | 1 | \$1,000 | Completed Pipeline Application |
| <input type="checkbox"/> | 1 | \$2,250 | Engineer review fee, plans/drawings, no larger than 11 x 17. Larger drawings may incur additional engineering fees. |
| <input type="checkbox"/> | 1 | \$1,750 | Completed Contractor's Access/Occupancy Application and Fee required with ALL application submittals. If the contractor is unknown at time of submittal just check the box under the heading on the form. |
| <input type="checkbox"/> | | \$5,000 | Full amount due with submittal for new utility installations - Unless prior arrangements are made, applications received without payment will not be processed until receipt of payment. This could extend the time frame for the processing of your request. |

Standard Application processing takes approximately 6-8 weeks. PLEASE READ IN FULL - "Expedited processing" is available and will reduce the processing time to between 1-2 weeks at an additional cost of \$3,000. For **all expedited requests**, the application and plans submitted must meet engineering specifications and be approved in order for the applicable agreement(s) to be forwarded to the applicant for signature within 2 weeks **from receipt of all required information and full payment of required fees**. It is important to note that an incomplete application and plans submitted that do not meeting engineering specifications will cause a delay in the processing of expedited request and the two week guarantee no longer applies if revisions are required to be made by the applicant in order for them to meet engineering specs for engineering to approve the request.

The expedited processing of an application does not apply to the final review and execution phase of the agreement process. Once a signed agreement has been received and ALL required fees and insurance has been submitted the agreement(s) is(are) forwarded for final review by a VP of Real Estate, Legal and the signatory for the Railroad. This final review and execution process can take up to 2 weeks from receipt of **all required documentation and fees**. This part of the agreement process cannot be expedited.

At this time we are unable to expedite requests for the installation of new private grade crossings, industrial track agreements, and track leases. The process for these requests varies somewhat from the review and approval process for new utilities and takes a little longer to secure all required approvals.

Entering or working on the railroad right of way or any other railroad property without the permission of the railroad is trespassing and illegal. Violators risk the possibility of serious, even fatal injury and will be prosecuted.